PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	PCT					
To: STOUT, UXA, BUYAN & MULLINS, LLP Attn. Mullins, Kenton R. 4 Venture Suite 300 IRVINE, CALIFORNIA 92618 ETATS-UNIS D'AMERIQUE JAN 1 3 2010	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION					
the state of the s	(PCT Rule 44.1)					
The second secon	Date of mailing (day/month/year) 12/01/2010					
Applicant's or agent's file reference	12/01/2010					
MB8039PCT	FOR FURTHER ACTION See paragraphs 1 and 4 below					
International application No.	International filing date					
PCT/IB2008/003797	(day/month/year) 27/08/2008					
Applicant Article 1	s case Due 4/10/10					
MAST BIOSURGERY AG CHILDEN	rand/Response to WD Due 4/12					
The applicant is barely published that the internal includes the second	- PHASE 30-month dead/ne 15					
The applicant is hereby notified that the international search Authority have been established and are transmitted herewith	report and the written opinion of the International Searching 2/2					
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claim When? The time limit for filing such amendments is norr International Search Report.						
Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Fascimile No.: (41–22) 338.82.70 For more detailed instructions, see the notes on the accompanying sheet.						
2. The applicant is hereby notified that no international search Article 17(2)(a) to that effect and the written opinion of the in	ternational Searching Authority are transmitted herewith.					
3. With regard to any protest against payment of (an) addition	onal fee(s) under Rule 40.2, the applicant is notified that:					
the protest together with the decision thereon has been applicant's request to forward the texts of both the protest; no decision has been made yet on the protest; the app	n transmitted to the International Bureau together with the est and the decision thereon to the designated Offices licant will be notified as soon as a decision is made.					
4. Reminders	BY					
Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the completion of the technical preparations for international publication.						
The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.						
Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.						
In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months.						
See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's Guide, National Chapters.						
Name and mailing address of the International Searching Authority	Authorized officer					
European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (431-70) 340-3016	Patrick Wach					

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Annex B).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, International Phase, paragraph 296).

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

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Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet or sheets containing a complete set of claims in replacement of all the claims previously filed must be submitted.

Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively in Arabic numerals (Section 205(a)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*.

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The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, International Phase, paragraph 296).

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Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet or sheets containing a complete set of claims in replacement of all the claims previously filed must be submitted.

Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively in Arabic numerals (Section 205(a)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

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The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER		see Form PCT/ISA/220		
MB8039PCT	ACTION	as well	as, where applicable, item 5 below.		
International application No.	International filing date (day/month	/year)	(Earliest) Priority Date (day/month/year)		
PCT/IB2008/003797	27/08/2008		27/08/2007		
Applicant					
MAST BIOSURGERY AG					
This international search report has been	prepared by this International Search	ning Author	rity and is transmitted to the applicant		
according to Article 18. A copy is being tra	ansmitted to the International Bureau		my and is transmitted to the applicant		
This international search report consists o	of a total of shee	ts.			
X It is also accompanied by	a copy of each prior art document ci	ted in this i	report.		
Basis of the report					
a. With regard to the language, the	international search was carried out	on the bas	is of:		
X the international a	application in the language in which it	was filed			
a translation of the of a translation full	e international application into rnished for the purposes of internatio	nal search	, which is the language (Rules 12.3(a) and 23.1(b))		
b. This international search	report has been established taking in	to account	the rectification of an obvious mistake		
authorized by or notified to	o this Authority under Rule 91 (Rule	43.6 <i>bis</i> (a))	 Automobile Management (1998) 		
c. With regard to any nucleo		disclosed i	n the international application, see Box No. I.		
,	nd unsearchable (See Box No. II)	. 1 44 4 14	en e		
3. Unity of invention is lack	king (see Box No III)				
4. With regard to the title,	f · · · · · · · · · · · · · · · · · · ·				
X the text is approved as su	bmitted by the applicant				
the text has been established	hed by this Authority to read as follow	vs:	(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)		
er en					
	 A service of the servic		and the second s		
5. With regard to the abstract,					
X the text is approved as su					
the text has been establish may, within one month fro	hed, according to Rule 38.2(b), by the m the date of mailing of this internation	s Authority onal search	as it appears in Box No. IV. The applicant h report, submit comments to this Authority		
6. With regard to the drawings ,					
a. the figure of the drawings to be p	ublished with the abstract is Figure N	lo. <u>2F</u>			
X as suggested by the					
as selected by this	as selected by this Authority, because the applicant failed to suggest a figure				
	s Authority, because this figure better	character	izes the invention		
b none of the figures is to be	e published with the abstract				
Form DCT/ICA/D40 (first about) (April 0007)					

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INTERNATIONAL SEARCH REPORT

International application No PCT/IB2008/003797

a. classification of subject matter INV. A61L31/04 A61L31/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, EMBASE, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

example 1

claims 1,3,4,6,8,10,11,14

Category*,	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 6 136 333 A (COHN DANIEL [IL] ET AL)	1-2,4,
Υ	24 October 2000 (2000-10-24) column 1, lines 9-15	13-15 3,5-12,
	column 2, lines 15-67	16-21
	column 4 lines 1-19,31-46,60-67	
	column 4, lines 1-4,16,17,31-34,37-45,51-53	
	column 5, lines 1-7,17-22,40-43,54-67	
	column 7, lines 19-31 column 8, lines 30-49	
	column 9, lines 24-30	
	column 10, lines 7-15,23-40	
	column 29, line 39 - column 30, line 10	

X Further documents are listed in the continuation of Box C.	X See patent family annex.
* Special categories of cited documents: 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filing date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but clied to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
17 December 2009	12/01/2010
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer López García, Mónica

INTERNATIONAL SEARCH REPORT

International application No
PCT/IB2008/003797

C(Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	 Relevant to claim No.
Y	US 2003/185874 A1 (CALHOUN CHRISTOPHER J [US] ET AL) 2 October 2003 (2003-10-02) figures 6a,8a,9a,10a,11a,12a,15a,17a paragraphs [0061], [0062], [0064], [0066], [0070], [0073] claims 1-12	3,5-12, 16-21
1	US 2004/137033 A1 (CALHOUN CHRISTOPHER J [US] ET AL) 15 July 2004 (2004-07-15) the whole document	1-21

INTERNATIONAL SEARCH REPORT

information on patent family members

International application No PCT/IB2008/003797

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 6136333	24-10-2000	AT 330618 T	15-07-2006
		AU 735698 B2	12-07-2001
		AU 3798497 A	09-02-1998
· · · · ·	Paragram Land	BR 9710243 A	11-01-2000
		CA 2259603 A1	22-01-1998
		DE 69736186 T2	03-05-2007
		EP 0939639 A1 _€	08-09-1999
		ES 2262182 T3	16-11-2006
		JP 2000514457 T	31-10-2000
	•	KR 20000023724 A	25-04-2000
		WO 9802171 A1	22-01-1998
		US 5711958 A	27-01-1998
US 2003185874	1 02-10-2003	NONE	
US 2004137033	15-07-2004	NONE	

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PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY To: WRITTEN OPINION OF THE see form PCT/ISA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/IB2008/003797 27.08.2008 27.08.2007 International Patent Classification (IPC) or both national classification and IPC INV. A61L31/04 A61L31/06 Applicant MAST BIOSURGERY AG 1. This opinion contains indications relating to the following items: Box No. I Basis of the opinion ☐ Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited ☐ Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application 2. **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. 3. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA: Date of completion of Authorized Officer this opinion European Patent Office see form

PCT/ISA/210

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NL-2280 HV Rijswijk - Pays Bas

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IB2008/003797

			· · · · · · · · · · · · · · · · · · ·					
	Box	No. I Basis o	of the opinion					
1.	1. With regard to the language, this opinion has been established on the basis of:							
	□ the international application in the language in which it was filed							
		a translation of purposes of inte	the internatior ernational sea	nal application int rch (Rules 12.3(a	o , which is the lang) and 23.1 (b)).	uage of a translation	n furnished for the	
2.		This opinion has by or notified to	s been establi this Authority	shed taking into under Rule 91 (I	account the rectifica Rule 43bis.1(a))	tion of an obvious	mistake authorized	
3.	 With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of: 							
	a. ty	pe of material:						
		a sequence	listing					
		able(s) relat	ed to the sequ	uence listing				
	b. format of material:							
	□ on paper							
		in electronic	form	6 - 4				
	c. time of filing/furnishing:							
		contained in	the internation	nal application as	filed.			
	Г	filed together	r with the inter	national applicat	on in electronic form	i. Na firet Marake e levare e la assertició	e en	
		furnished sul	osequently to	this Authority for	the purposes of sear	rch.		
4.		has been filed o	r furnished, th al to that in th	e required stater	sion or copy of a seq nents that the inform iled or does not go b	ation in the subsequ	table relating thereto uent or additional on as filed, as	
5.	Addi	tional comments	3 :					

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IB2008/003797

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

3,5-12,16-21

No: Claims

1,2,4,13-15

Inventive step (IS)

Yes: Claims

No: Claims

<u>1-21</u>

Industrial applicability (IA)

Yes: Claims

1-21

No: Claims

2. Citations and explanations

see separate sheet

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Reference is made to the following documents:

D1: US6136333 A

D2: US2003/0185874 A1

2. Novelty (Article 33(2) PCT)

- The subject - matter of present claims 1, 2, 4 and 13-15 is considered as not novel over the cited prior art for the following reasons (Article 33(2) PCT):

- Document D1 (US6136333) discloses the use of biodegradable polymeric compositions in films or other structures to prevent post - operative adhesions, which comprise di-block AB or tri-block ABA copolymers. The A block preferably comprises poly (α - hydroxycarboxylic acid), for example, poly (glycolic acid), poly (L - lactic acid) and poly (D,L - lactic acid). The B block is preferably a hydroxyl, carboxylic acid or amine terminated poly (oxyalkylene) block (preferably a polyethylene glycol homopolymer or a poly (ethylene oxide) - co - poly (propylene oxide) block copolymer). Films having a thickness of approximately 10mil (0.25 mm) are described. The polymeric compositions may also be used to deliver bioactive agents. (Cf. D1, column 1, lines 9-15; column 2, lines 15-67; column 3, lines 1-19, 31-46, 60-67; column 4, lines 1-4, 16, 17, 31-34, 37-45, 51-53; column 5, lines 1-7, 17-22, 40-43, 54-67; column 7, lines 19-31; column 8, lines 30-49; column 9, lines 24-30; column 10, lines 7-15,23-40; column 29, line 39 - column 30, line 10); example 1; claims 1, 3, 4, 6, 8, 10, 11, 14).

Therefore, the subject - matter of document D1 takes away the novelty of claims 1, 2, 4 and 13-15.

3. Inventive step (Article 33(3) PCT)

a - Since the subject - matter of claims 1, 2, 4 and 13-15 is known, it can obviously not be considered as inventive in the sense of Article 33(1),(3) PCT.

- b Although novel, the subject matter of present claims 3, 5-12 and 16-21 can not be considered as inventive for the following reasons (Article 33(1),(3) PCT):
- Document D1 (US6136333), which is considered as the closest prior art, discloses the use of biodegradable polymeric compositions in films or other structures to prevent post operative adhesions, which comprise a di-block AB or tri-block ABA copolymers. The A block preferably comprises poly (α hydroxycarboxylic acid), for example, poly (glycolic acid), poly(L lactic acid) and poly(D,L lactic acid). The B block is preferably a hydroxyl, carboxylic acid or amine terminated poly (oxyalkylene) block (preferably a polyethylene glycol homopolymer or a poly(ethylene oxide) co poly(propylene oxide) block copolymer). Films having a thickness of approximately 0.25 mm are described. The polymeric compositions may also be used to deliver bioactive agents.
- The differences between the subject-matter of dependent claims 3, 5-12 and 16-21 and the teaching of the closest prior art D1 are: the presence of holes in the resorbable layer and the inclusion of a further membrane with a thickness of less than 2000 microns which is fluid/cell/vessel permeable. These features are described in document D2 US2003/0185874 (see figures 6a, 8a, 9a, 10a, 11a, 12a, 15a, 17a; §61, 62, 64, 66, 70, 73; claims 1-12) for a resorbable polymer barrier membrane as providing the same effects/advantages as in the present application. The skilled person would therefore regard it as a normal option to include these features in the scartissue reduction micro-membrane system described in document D1 and therefore the subject matter of claims 3, 5-12 and 16-21 can not be considered as inventive under Article 33(1),(3) PCT.

4. Industrial Application (Article 33(4) PCT)

- The subject - matter of claims 1-21 is considered to be industrially applicable; claims 1-21 therefore, satisfy the criterion set forth in Article 33(4) PCT.

Re Item VIII

Certain observations on the international application

a - The embodiments of the invention described on pages 4, 11-16, 20-29 and in figures 1a-2e, 3c, 4 and 5, referring to a membrane with varying cross-sectional thickness, different molecular orientations of the copolymers, membranes containing welding flanges, and surgical prosthesis further comprising a tissue-ingrowth region do not fall within the scope of the claims. This inconsistency between the claims and the description leads to doubt concerning the matter for which protection is sought, thereby rendering the claims unclear, Article 6 PCT.

PCT/IB2008/003797

- b The term " **substantially**" used in claims 1 and 7 is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject-matter of said claim unclear, Article 6 PCT.
- c The word " **about** " found in claims 1, 11 and 12 should not be used in connection with ranges of values as it leaves the reader in doubt as to the definition of the subject-matter for which protection is sought, contrary to Article 6 PCT.

Possible steps after receipt of the international search report (ISR) and written opinion of the International Searching Authority (WO-ISA)

General information

For all international applications filed on or after 01/01/2004 the competent ISA will establish an ISR. It is accompanied by the WO-ISA. Unlike the former written opinion of the IPEA (Rule 66.2 PCT), the WO-ISA is not meant to be responded to, but to be taken into consideration for further procedural steps. This document explains about the possibilities.

under Art. 19 PCT

Amending claims Within 2 months after the date of mailing of the ISR and the WO-ISA the applicant may file amended claims under Art. 19 PCT directly with the International Bureau of WIPO. The PCT reform of 2004 did not change this procedure. For further information please see Rule 46 PCT as well as form PCT/ISA/220 and the corresponding Notes to form PCT/ISA/220.

Filing a demand for international preliminary examination

In principle, the WO-ISA will be considered as the written opinion of the IPEA. This should, in many cases, make it unnecessary to file a demand for international preliminary examination. If the applicant nevertheless wishes to file a demand this must be done before expiry of 3 months after the date of mailing of the ISR/WO-ISA or 22 months after priority date, whichever expires later (Rule 54bis PCT). Amendments under Art. 34 PCT can be filed with the IPEA as before, normally at the same time as filing the demand (Rule 66.1 (b) PCT).

If a demand for international preliminary examination is filed and no comments/amendments have been received the WO-ISA will be transformed by the IPEA into an IPRP (International Preliminary Report on Patentability) which would merely reflect the content of the WO-ISA. The demand can still be withdrawn (Art. 37 PCT).

Filing informal comments

After receipt of the ISR/WO-ISA the applicant may file informal comments on the WO-ISA directly with the International Bureau of WIPO. These will be communicated to the designated Offices together with the IPRP (International Preliminary Report on Patentability) at 30 months from the priority date. Please also refer to the next box.

End of the international phase

At the end of the international phase the International Bureau of WIPO will transform the WO-ISA or, if a demand was filed, the written opinion of the IPEA into the IPRP, which will then be transmitted together with possible informal comments to the designated Offices. The IPRP replaces the former IPER (international preliminary examination report).

Relevant PCT Rules and more information

Rule 43 PCT, Rule 43bis PCT, Rule 44 PCT, Rule 44bis PCT, PCT Newsletter 12/2003, OJ 11/2003, OJ 12/2003